

08/0202/TN 17

# POMS

PROGRAM OPERATIONS MANUAL SYSTEM

Part 08

Systems and Methods

## CHAPTER 020

### SSI Interfaces

### Subchapter 02

## External Interfaces

This Consolidation incorporates through TN 17

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## Chapter 020 - SSI Interfaces

## Subchapter 02 - External Interfaces

| <b>Old POMS Reference</b> | <b>New POMS Reference</b> |
|---------------------------|---------------------------|
| 02002.001 .....           | Deleted                   |
| 02002.005 .....           | RM 06002.001              |
|                           | SI 02310.025              |
| 02002.015 .....           | Deleted                   |
| 02002.020 .....           | Deleted                   |
| 02002.025 .....           | SM 01005.850              |
|                           | SM 01306.760              |
|                           | SM 02002.010 -            |
|                           | SM 02002.015              |
|                           | SM 02002.030              |
| 02002.030 .....           | SM 02002.010 -            |
|                           | SM 02002.015              |
| 02002.035 .....           | Deleted                   |
| 02002.040 .....           | SI 02310.020              |
|                           | SM 02002.020              |
|                           | SM 02002.031              |
|                           | SM 02002.045              |

| <b>Old POMS Reference</b> | <b>New POMS Reference</b> |
|---------------------------|---------------------------|
| 02002.045 .....           | Deleted                   |
| 02002.050 .....           | SM 02002.031              |
|                           | SM 02002.045              |
| 02002.055 .....           | SM 02002.044              |
|                           | SM 02002.045              |
| 02002.060 .....           | SM 02002.031              |
|                           | SM 02002.043              |
| 02002.065 .....           | SM 02002.031              |
|                           | SM 02002.043              |
| 02002.090 .....           | SI 00830.168              |
| 02002.095 .....           | Deleted                   |
| 02002.097 .....           | SM 02002.030              |
| 02002.099 .....           | Deleted                   |

**02002.000 External Interfaces**

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**02002.001 VA/SSI Interface Process****A. Process**

The following chart describes the VA/SSI interface.

| <i>When the...</i>                                                                                 | <i>Then the...</i>                                                                                                                                                                                                                                                                                                                                   |
|----------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| External interface system receives an extract file from VA 6 times per year in odd numbered months | External interface system: <ul style="list-style-type: none"> <li>determines which VA records match SSRs based on SSN, VA claim number, name and date of birth</li> <li>sends possible match cases to OCRO for review</li> <li>updates VA benefit data to SSRs on match cases</li> <li>sends rejects and alerts to FOs for further action</li> </ul> |
| FO receives a reject or alert                                                                      | FO: <ul style="list-style-type: none"> <li>resolves the reject or alert</li> <li>makes corrective input to the SSR</li> </ul>                                                                                                                                                                                                                        |

**B. References**

OCRO Procedures for VA Possible Match Cases, RM 06001.001ff

FO Procedures for VA Possible Match Cases, SI 02310.025ff

**02002.010 The VA Unearned Income Identification Number (VA UMID)****A. Description of the VA UMID**

The VA unearned income identification number is a 12 position number which must appear on the SSR in order for the VA/SSI interface process to work. This 12 position number consists of the following:

- 9 position numeric VACN
- 2 position alpha VA BIC
- 1 position alpha or blank PCOC

**B. Example**

This is an example of the VA UMID:

123456789LLF

**C. References**

How to Determine the VACN, SM 02002.013

How to Determine the VA BIC, SM 02002.014

VA PCOC Entries, SM 02002.015

**02002.013 How to Determine the VA Claim Number (VACN)****A. Definition**

The VA claim number is the first 9 positions of the VA UMID. This number helps identify the correct VA beneficiary.

**NOTE:** The VACN is usually the veterans' SSN.

**B. Procedure**

These are the steps needed to determine the VACN:

| <i>Step</i> | <i>Action</i>                                                                                                                                                  |
|-------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1           | Is the VACN shown on VA notices or checks 9 positions? <ul style="list-style-type: none"> <li>• If yes, go to step 2</li> <li>• If no, go to step 3</li> </ul> |

**B. Procedure (Cont.)**

| <b>Step</b> | <b>Action</b>                                                                                                                                                                                   |
|-------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 2           | Are all 9 positions of the VACN numeric?<br>• If yes, enter VACN as the first 9 positions of the VA UMID<br>• If no, go to step 3                                                               |
| 3           | Drop any alphas and go to step 4.                                                                                                                                                               |
| 4           | • Add lead zeros up through the second position<br>• Add an "8" in the first position, and to go step 5<br><br>Example: VA award letter has a VACN of 56789, it would be expanded to 800056789. |
| 5           | Enter this number as the first 9 positions of the VA UMID                                                                                                                                       |

**C. References**

The VA UMID, SM 02002.010

How to Determine the VA BIC, SM 02002.014

VA PCOC Entries, SM 02002.015

**02002.014 How to Determine the VA BIC****A. Definition**

The VA BIC is a 2 position alpha code which occupies positions 10-11 of the VA UMID. The VA BIC identifies the type of beneficiary on the VA record.

**B. Process**

Positions 10-11 of the VA UMID are the VA payee number which the field office (FO) must convert to an alpha VA BIC based on the following chart:

| <i><b>If the VA payee number on VA notices or checks is</b></i> | <i><b>then the VA beneficiary is a</b></i>     | <i><b>and the VA BIC (positions 10-11 of the VA UMID) is</b></i> |
|-----------------------------------------------------------------|------------------------------------------------|------------------------------------------------------------------|
| 00                                                              | veteran                                        | LL                                                               |
| 10                                                              | spouse, widow(er)                              | AL                                                               |
| 11-19                                                           | child (direct payment)                         | AA-AK (no AH)                                                    |
| 31-39                                                           | child (consolidated award)                     | CA-CK (no CH)                                                    |
| 50                                                              | father                                         | EL                                                               |
| 60                                                              | mother                                         | FL                                                               |
| 70-78                                                           | widow(er), child, parent (death service award) | GL, GA-GJ (no GH)                                                |
| 99                                                              | veteran (institutional award)                  | KK                                                               |

**02002.015 VA PCOC Entries****A. Definition**

The program service center office code (PCOC), position 12 of the VA UMID, identifies:

- which VA payments are supposed to get legislative increases and
- if the unearned income (UM) type C (compensation or pension not based on need) or E (compensation or pension based on need) entry represents a veteran's/spouse's widow(er)'s, or a dependent's portion of the VA award amount.

**B. Description of PCOC's**

| <b>PCOC</b> | <b>Meaning</b>                                                                                                                         |
|-------------|----------------------------------------------------------------------------------------------------------------------------------------|
| blank       | VA benefit due legislative increases (if UM verification code equals 1 or 2). May represent either augmented or unaugmented VA benefit |
| D           | Dependent's portion of an augmented VA benefit                                                                                         |

**B. Description of PCOC's (Cont.)**

| <b>PCOC</b> | <b>Meaning</b>                                                                                                                                     |
|-------------|----------------------------------------------------------------------------------------------------------------------------------------------------|
| F           | VA benefit that does not get legislative increase                                                                                                  |
| G           | VA DIC awards (parents of deceased veterans) due legislative increases                                                                             |
| V           | Veterans or widow(er)'s (primary beneficiary's) portion of an augmented VA benefit                                                                 |
| X           | VA benefit that does not get legislative increases and is a veteran's or widow(er)'s portion of an augmented benefit (combination of PCOC F and V) |

**C. Procedure**

Use the chart below to determine the PCOC (position 12 of the UMID):

| <b>If the VA beneficiary is a</b>                                                   | <b>Then the PCOC (position 12 of the VA UMID) is</b> |
|-------------------------------------------------------------------------------------|------------------------------------------------------|
| veteran or widow(er) receiving the primary portion of an augmented benefit          | V                                                    |
| dependent (spouse or child) receiving a dependent's portion of an augmented benefit | D                                                    |
| other than the above                                                                | blank                                                |

**D. References**

Initial Claims Input of UM Type C or E, SM 01005.850

Posteligibility Input of UM Type C or E, SM 01306.760

The VA UMID, SM 02002.010

How to Determine the VA Claim Number (VACN), SM 02002.013

How to Determine the VA BIC, SM 02002.014

**02002.016 VA Payments Made Quarterly Semi-Annually or Annually****A. Introduction**

Most SSI recipients who are also entitled to VA benefits receive monthly VA payments. However, the VA does not make monthly payments if the annual pension amount due is less than a specified amount which changes from year to year. For these small pensions, the VA pays quarterly, semi-annually, or annually depending on the total annual amount due.

**B. Process**

**When the VA record used in the interface indicates the VA payment is quarterly, semi-annual or annual And the VA interface run is occurring for...**

**Then the VA interface calculates and posts...**

|          |                                                                          |
|----------|--------------------------------------------------------------------------|
| January  | quarterly VA amounts expected to be paid March 1                         |
| May      | quarterly, semi-annual, and annual VA amounts expected to be paid June 1 |
| July     | quarterly VA amounts expected to be paid September 1                     |
| November | quarterly and semi-annual VA amounts expected to be paid December 1      |

The VA interface runs occurring for March and September do not update VA quarterly, semi-annual, or annual amounts.

**Example:** The VA beneficiary is expected to receive an annual VA payment of \$120.00 in June 1989. The VA interface run occurring for May 1989 will post the following UM entry to the SSR.

E 0689-0689 120.00 N 123456789LL 2

**Reminder:** Regular VA UM entries will be posted with a UM start date of 0589.

**Exception:** If the VA beneficiary is an SSI ineligible, then a second UM entry using a zero money amount, C frequency and a verification code of 1 is posted. This is done

**B. Process (Cont.)**

so that deeming of other income of the ineligible does not occur for the months that he/she is entitled to a VA benefit, but is not receiving payment.

**Example:** The VA beneficiary is an SSI ineligible spouse and is expected to receive a VA payment of \$120.00 in June 1989. The VA interface run occurring for May 1989 will post the following UM entries to the SSR:

E 0689-0689 120.00 N 123456789LL 2

E 0789-0000 000.00 C 123456789LL 1

**C. Reference**

VA Pension Payments, SI 00830.161

**02002.017 VA UM Type E Which is Entirely an A&A/ HB Payment****A. Introduction**

A&A/HB payments are not considered to be income based on need for SSI purposes. Thus, A&A/HB is excluded from countable VA income by the interface. Because of deeming rules, the interface posts UM type E which is considered to be entirely an A&A/HB payment differently for eligibles and ineligibles.

**B. Process**

| <i>When</i>                                                                                     | <i>and the SSI person type is an...</i> | <i>then the VA/ SSI interface...</i>                                                                                       |
|-------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------------------------------------------------------------------------------------------|
| the UM type E amount is considered to be entirely A&A/HB payments (no countable income for SSI) | eligible                                | posts the UM entry as zero money with a C frequency code.<br><br><b>Example:</b><br>E 0190-0000 0000.00 C<br>123456789LL 2 |
|                                                                                                 | ineligible                              | does not post a UM type E entry in order to allow deeming of other income                                                  |

**C. Reference**

VA A&A/HB Allowances, SI 00830.164

**02002.020 Terms Used in VA Interface Alert/ Reject Messages****A. Glossary**

| <i>Abbreviation or Message</i>    | <i>Term</i>                                              | <i>Meaning</i>                                                              |
|-----------------------------------|----------------------------------------------------------|-----------------------------------------------------------------------------|
| ENT                               | Entitlement Code                                         | Type of VA Compensation or Pension                                          |
| JURIS                             | VA Regional Office (VA-RO) Code                          | VARO with jurisdiction for the VA claim                                     |
| PERCENT                           | Percent of Disability                                    | Percent of Veteran's disability                                             |
| PYN                               | Payee Number                                             | Type of VA beneficiary. Used to determine VA BIC (post)                     |
| VA AIDIND                         | VA Aid and Attendance Housebound Payment (A&A/HB) Code   | Type of A&A/HB payment included in VA net award amount                      |
| VACN                              | VA Claim Number                                          | Used to identify a VA claim                                                 |
| VA CHK AMT                        | VA Check Amount                                          | Monthly VA check amount after deductions                                    |
| VA DED TYP 1, 2, 3<br>AMT 1, 2, 3 | VA Deduction Type Code 1, 2 and 3 and Amount 1, 2, and 3 | Type of VA deduction and the corresponding amounts (3 possible occurrences) |
| VA DOB                            | VA Date of Birth                                         | The year and month or year alone of birth of the veteran                    |
| VA NAME                           | VA Name                                                  | Veteran's name                                                              |

|                            |                                |                     |                                           |
|----------------------------|--------------------------------|---------------------|-------------------------------------------|
| <b>A. Glossary (Cont.)</b> | <b>Abbreviation or Message</b> | <b>Term</b>         | <b>Meaning</b>                            |
|                            | VA NET AWD                     | VA Net Award Amount | VA net award amount prior to deduction(s) |
| <b>B. Reference</b>        | VARO Codes, SI 00830.168 B.    |                     |                                           |
|                            | VA BIC, SM 02002.014           |                     |                                           |
|                            | A&A/HB Code, SI 00830.164      |                     |                                           |

## 02002.030 Conversion Chart for VA Entitlement Codes

### A. Process

The following chart shows how the VA/SSI interface converts VA entitlement codes (ENT) to unearned income (UM) type C or E and the corresponding VA payee number (PYN) and PCOC.

**Note:** This chart can be used for FO income type determinations too.

| ENT                                       | Type of VA Payment                                                                                   | PYN                 | UM Type | PCOC  |
|-------------------------------------------|------------------------------------------------------------------------------------------------------|---------------------|---------|-------|
| 11, 21, 31, 41, 51, 61, 71, 91            | Disability Compensation-Live Case                                                                    | All                 | C       | Blank |
| 11, 21, 31, 41, 51, 61, 71, 91            | Disability Compensation-Live Case (Arrested TB-\$67.00 VA Award Amount)                              | 00                  | C       | F     |
| 12, 22, 32                                | Old Law Pension (World War I, World War II and Korean War Service)-Live Case                         | All                 | E       | F     |
| 52, 62                                    | Old Law Pension (Spanish-American War-90 days service)-Live Case                                     | All                 | C       | F     |
| 14, 24, 34, 54, 64, 74                    | Section 306 Pension-Live Case                                                                        | All                 | E       | F     |
| 15, 25, 35, 45, 55, 65, 75                | Death Compensation (Wartime Rate-excluding Philippine service) to parent-Death Case                  | 50 or 60            | E       | F     |
| 15, 25, 35, 45, 55, 65, 75                | Death Compensation (Wartime Rate-excluding Philippine service) to widow(er) or child(ren)-Death Case | Other than 50 or 60 | C       | F     |
| 95                                        | Death Compensation (Wartime Rate-Philippine service)-Death Case                                      | All                 | C       | F     |
| 16, 26, 36                                | Old Law Pension (World War I, World War II and Korean War service)-Death Case                        | All                 | E       | F     |
| 56, 66, 86                                | Old Law Pension (Spanish-American War, Indian War and Civil War)-Death Case                          | All                 | C       | F     |
| 17, 27, 37, 47, 57, 67, 77, 87 97, 69, 99 | Dependency and Indemnity Compensation to Parents-Death Case                                          | 50 or 60            | E       | G     |
| 17, 27, 37, 47, 57, 67, 77, 87 97, 69, 99 | Dependency and Indemnity Compensation to Widow(er)'s or Child(ren)-Death Case                        | Other than 50 or 60 | C       | Blank |
| 18, 28, 38, 58, 68, 78                    | Section 306 Pension-Death Case                                                                       | All                 | E       | F     |
| 29, 39, 49, 79                            | Special Dependency and Indemnity Compensation to child(ren), widow(er) or parent-Live Case           | All                 | C       | F     |
| 1B, 4B                                    | Special Act Pension-Live Case                                                                        | All                 | C       | F     |

**A. Process (Cont.)**

| ENT                                | Type of VA Payment                                                  | PYN | UM Type | PCOC  |
|------------------------------------|---------------------------------------------------------------------|-----|---------|-------|
| 1D, 2D, 3D, 4D, 5D, 6D, 7D, 8D, 9D | Improved Pension-Death Case                                         | All | E       | Blank |
| 1L, 2L, 3L, 4L, 5L, 6L, 7L, 8L, 9L | Improved Pension-Live Case                                          | All | E       | Blank |
| 4F, 5F, 8F                         | Special Act Pension-Death Case                                      | All | C       | F     |
| 5K                                 | Disability Pension (Spanish American War-70 days service)-Live Case | All | C       | F     |
| 8H                                 | Pension (Confederate Service)-Death Case                            | All | C       | F     |
| O5, O6, OE                         | Emergency Officer's retirement                                      | All | C       | F     |

If the PCOC is F, no regular VA rate increased is expected.

If the PCOC is G or blank, regular VA rate increases will occur every January.

**B. References**

Veterans Administration Payments, SI 00830.160

VA Unearned Income Identification Number (VA UMID) - FO Input, SM 02002.010 - SM 02002.014

VA PCOC Entries, SM 02002.015

Initial Claims Input of UM Type C or E, SM 01005.850

Posteligibility Input of UM Type C or E, SM 01306.760

**02002.031 F5 Alert/ Diary****A. Process**

| When the...                                                                                                                                                                                                                                                                                     | Then the...                                                                                                                                                                                                                                                            |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <ul style="list-style-type: none"> <li>VA record and SSR Match and the interface process cannot compute the correct countable VA income for a veteran's, spouse's or widow(er)'s portion of an augmented benefit or</li> <li>the last UM type C or E entry on the SSR has a PCOC "D"</li> </ul> | interface: <ul style="list-style-type: none"> <li>does not update the UM field of the SSR</li> <li>generates an F5 alert to the FO</li> <li>establishes an F5 diary on the SSR which matures in 90 days and subsequently appears on monthly follow-up lists</li> </ul> |
| FO receives an F5 alert                                                                                                                                                                                                                                                                         | FO: <ul style="list-style-type: none"> <li>develops and inputs the correct countable income (which will also serve to delete the diary) or</li> <li>deletes F5 diary</li> </ul>                                                                                        |

**B. Example**

A-C F5 [PERSON] VA INCOME COMP REQUIRED  
 VACN XXXXXXXXXX PYN XX  
 ENT XX JURIS XX

**C. References**

Augmented VA Benefits, SI 00830.167

Development and Documentation - VA Interface, SI 02310.030.D

VA PCOC Entries, SM 02002.015

Glossary of Terms Used in VA Interface Alert/Reject Messages, SM 02002.020

Deletion of Diaries, SM 01301.220

SSI Diary Follow-up List, SM 01301.260

- C. References (Cont.)** Initial Claims Input of UM Type C or E, SM 01005.850  
Posteligibility Input of Um Type C or E, SM 01306.760

### 02002.032 H3 Alert (Informational)

#### A. Process

| When the...                                                                                                                      | Then the...                                                                                                                                         |
|----------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|
| VA record and SSR match for the first time and the VA record indicates the person has earned income and SSR has no earned income | interface: <ul style="list-style-type: none"> <li>• updates the current UM type C or E amount</li> <li>• generates an H3 alert to the FO</li> </ul> |
| FO receives an H3 alert                                                                                                          | FO: <ul style="list-style-type: none"> <li>• determines if the person has earned income</li> <li>• takes appropriate action</li> </ul>              |

#### B. Example

I-A VAC&P H3 VA SHOWS EARNED INCOME  
VA EARNED INCOME XXXXXX  
JURIS XX

#### C. References

Earned Income, SI 00820.001  
Posting Earned Income - Initial Claims, SM 01005.001ff  
Posting Earned Income - Post-Eligibility, SM 01301.100ff

### 02002.033 L6 Alert/ V1 Diary

#### A. Process

| When the...                                                                               | Then the...                                                                                                                                                                                                                                                                                                                  |
|-------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| VA record and SSR match and the VA benefit is a consolidated award for 9 or more children | interface: <ul style="list-style-type: none"> <li>• updates the current UM type C or E with zero money to the SSR if there is no prior UM type C or E</li> <li>• generates an L6 alert to the FO</li> <li>• establishes the V1 diary which matures in 60 days and subsequently appears on monthly follow-up lists</li> </ul> |
| FO receives an L6 alert                                                                   | FO: <ul style="list-style-type: none"> <li>• develops and inputs the correct countable income (which will also serve to delete the diary) or</li> <li>• deletes the V1 diary</li> </ul>                                                                                                                                      |

#### B. Example

I-A VAC&P L6 CONSOLIDATED AWARD -  
DEV VA AMT  
JURIS XX

#### C. References

Development and Documentation - VA Interface, SI 02310.030.D  
Glossary of Terms Used in VA Interface Alert/Reject Messages, SM 02002.020  
Deletion of Diaries, SM 01301.220  
SSI Diary Follow-up List, SM 01301.260

**02002.034 L9 Alert/ Diary****A. Process**

| <i>When the...</i>                                                                                                   | <i>Then the...</i>                                                                                                                                                                                                                                                            |
|----------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| VA record and SSR match and the interface process cannot determine the A&A/HB amount in figuring countable VA income | interface: <ul style="list-style-type: none"> <li>• does not update the UM field of the SSR</li> <li>• generates an L9 alert to the FO</li> <li>• establishes the L9 diary on the SSR which matures in 60 days and subsequently appears on monthly follow-up lists</li> </ul> |
| FO receives an L9 alert                                                                                              | FO: <ul style="list-style-type: none"> <li>• develops and inputs the correct countable income (which will also serve to delete the diary)or</li> <li>• deletes the L9 diary</li> </ul>                                                                                        |

**B. Example**

I-A VAC&P L9    VA AMT NOT APPLIED  
                   VA AIDIND X PERCENT XXX  
                   JURIS XX    ENT XX  
                   VACHK AMT XXXXXX NET AWD XXXXXX  
                   VA DED TYP1 XX AMT1 XXXXXX  
                   VA DED TYP2 XX AMT2 XXXXXX  
                   VA DED TYP3 XX AMT3 XXXXXX  
                   VA DOB XXXX  
                   VACN XXXXXXXXXXXX PYN XX

**C. References**

Development and Documentation - VA Interface, SI 02310.030.D  
 Glossary of Terms Used in VA Interface Alert/Reject Messages, SM 02002.020  
 Deletion of Diaries, SM 01301.220  
 SSI Diary Follow-up List, SM 01301.260  
 VA Aid and Attendance and Housebound Allowances, SI 00830.164

**02002.035 M4 Alert/ V9 Diary****A. Process**

| <i>When the...</i>                                                                                                                                                                                                                            | <i>Then the...</i>                                                                                                                                                                                                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| VA record and SSR match and the interface posts: <ul style="list-style-type: none"> <li>• UM type C and the previous VA UM entry on the SSR was type E, or</li> <li>• UM type E and the previous VA UM entry on the SSR was type C</li> </ul> | interface: <ul style="list-style-type: none"> <li>• updates the current UM type C or E amount</li> <li>• generates an M4 alert to the FO</li> <li>• establishes the V9 diary which matures in 60 days and subsequently appears on monthly follow-up lists</li> </ul> |

**A. Process (Cont.)**

| <i>When the...</i>      | <i>Then the...</i>                                                                                                                                                                                                                                                        |
|-------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| FO receives an M4 alert | FO: <ul style="list-style-type: none"> <li>determines if the correct type of VA income was posted in the retroactive period</li> <li>makes corrective input to the SSR, if needed (which will also serve to delete the diary) or</li> <li>deletes the V9 diary</li> </ul> |

**B. Example**

I-A VAC&P M4 VA TYPE CHANGED  
JURIS XX

**C. References**

Development and Documentation - VA Interface, SI 02310.030.D  
 Glossary of Terms Used in VA Interface Alert/Reject Messages, SM 02002.020  
 Deletion of Diaries, SM 01301.220  
 SSI Diary Follow-up List, SM 01301.260  
 Veterans Administration Payments, SI 00830.160

**02002.036 M8 Alert (Informational)****A. Process**

| <i>When the...</i>                                                                                                                                         | <i>Then the...</i>                                                                                                                                                     |
|------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| VA record and SSR match and: <ul style="list-style-type: none"> <li>the SSR is under force payment and</li> <li>the amount of VA income changes</li> </ul> | interface: <ul style="list-style-type: none"> <li>updates the current UM type C or E amount</li> <li>generates an M4 alert to the FO</li> </ul>                        |
| FO receives an M4 alert                                                                                                                                    | FO: <ul style="list-style-type: none"> <li>determines if the change in VA income requires a manual recomputation</li> <li>makes corrective input to the SSR</li> </ul> |

**B. Example**

I-A VAC&P M8 CASE UNDER FORCE - VA  
UM APPLIED RECOMPUTE  
JURIS XX

**C. References**

Glossary of Terms Used in VA Interface Alert/Reject Messages, SM 02002.020  
 Veterans Administration Payments, SI 00830.160  
 Force Payment Procedures, SM 01701.001ff  
 Initial Claims Input of UM Type C or E, SM 01005.850  
 Posteligibility Input of UM Type C or E, SM 01306.760

**02002.037 M9 Alert/V6 Diary****A. Process**

| <i>When the...</i> | <i>Then the...</i> |
|--------------------|--------------------|
|--------------------|--------------------|

**A. Process (Cont.)**

| <i>When the...</i>                                                                                                                             | <i>Then the...</i>                                                                                                                                                                                                                                                                                                                             |
|------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| VA record and SSR match and the VA record indicates that the VA payment has been suspended for failure to file a required income questionnaire | interface: <ul style="list-style-type: none"> <li>• does not update or terminate the current VA income on the SSR</li> <li>• generates an M9 alert to the FO (no alerts from the January or March runs)</li> <li>• establishes the V6 diary which matures in 60 days and subsequently appears on monthly follow-up lists</li> </ul>            |
| FO receives an M9 alert                                                                                                                        | FO: <ul style="list-style-type: none"> <li>• determines if the VA questionnaire has been filed</li> <li>• initiates SSI procedures for "failure to file for other benefits", if appropriate</li> <li>• makes corrective input to the SSR, if needed (which will also serve to delete the diary), or</li> <li>• deletes the V6 diary</li> </ul> |

**B. Example**

I-A VAC&P M9 VA PAYMENT SUSPENDED  
JURIS XX

**C. References**

Development and Documentation - VA Interface, SI 02310.030.D  
 Glossary of Terms Used in VA Interface Alert/Reject Messages, SM 02002.020  
 Deletion of Diaries, SM 01301.220  
 SSI Diary Follow-up List, SM 01301.260  
 Veterans Administration Payments, SI 00830.160  
 Eligibility for Other Programs Benefits, SI 00510.001  
 Payment Status N06 Input, SM 01305.065

**02002.038 Q1 Alert/ Diary****A. Process**

| <i>When the...</i>                                                                                                                          | <i>Then the...</i>                                                                                                                                                                                                                                                          |
|---------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| VA record and SSR match and the VA record indicates that the VA benefit has been terminated for death but SSI payments are still being paid | interface: <ul style="list-style-type: none"> <li>• terminates the UM type C or E on the SSR</li> <li>• generates a Q1 alert to the FO</li> <li>• establishes a Q1 diary on the SSR which matures in 90 days and subsequently appears on monthly follow-up lists</li> </ul> |
| FO receives a Q1 alert                                                                                                                      | FO: <ul style="list-style-type: none"> <li>• determines if the recipient is living or deceased</li> <li>• if living, determines why the VA benefit was terminated or, if deceased, inputs death data to the SSR</li> <li>• deletes Q1 diary</li> </ul>                      |

**B. Example**

C-A Q1 [PERSON] VA INDICATES PERSON DECEASED  
JURIS XX

**C. References**

SSR Death Process, SM 01301.001  
Deletion of Diaries, SM 01301.220  
SSI Diary Follow-up List, SM 01301.260  
Glossary of Terms Used in VA Interface Alert/Reject Messages, SM 02002.020

**02002.039 S9 Alert (Informational)****A. Process**

| <i>When the...</i>                                                                                                                   | <i>Then the...</i>                                                                                                                                   |
|--------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------|
| VA record and SSR match for the first time and the VA record indicates that the recipient is married but the SSR is not for a couple | interface generates the S9 informational alert to the FO                                                                                             |
| FO receives an S9 alert                                                                                                              | FO: <ul style="list-style-type: none"> <li>determines the recipient's marital status</li> <li>takes appropriate action to correct the SSR</li> </ul> |

**B. Example**

I-A VAC&P S9 VA INDICATES MARRIAGE  
JURIS XX

**C. References**

SSR Eligibility, SI 00501.001  
T30 Procedures, SM 01801.005  
Glossary of Terms Used in VA Interface Alert/Reject Messages, SM 02002.020

**02002.040 U6 Alert (Informational)****A. Process**

| <i>When the...</i>                                                                                                                                                   | <i>Then the...</i>                                                                                                                                     |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------|
| VA record and SSR match for the first time and the VA record indicates that the recipient's disability has ceased, but the SSR indicates that the person is disabled | interface: <ul style="list-style-type: none"> <li>updates the current UM type C or E amount</li> <li>generates U6 informational alert to FO</li> </ul> |
| FO receives an U6 alert                                                                                                                                              | FO: <ul style="list-style-type: none"> <li>determines if a CDR is necessary</li> <li>initiates development</li> </ul>                                  |

**B. Example**

I-A VAC&P U6 VA DIB CESSATION  
POTENTIAL CDI  
JURIS XX

**C. References**

Continuing Disability Review, DI 13001.001ff  
Glossary of Terms Used in VA Interface Alert/Reject Messages, SM 02002.020

**02002.041 U8 Alert (Informational)****A. Process**

| <i>When the...</i> | <i>Then the...</i> |
|--------------------|--------------------|
|--------------------|--------------------|

**A. Process (Cont.)**

| <i>When the...</i>                                                                                                                                                         | <i>Then the...</i>                                                                                                                                         |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|
| VA record and SSR match for the first time and the VA record indicates that the person has a foreign address, but the SSR is not terminated for foreign address (PSY= N03) | interface: <ul style="list-style-type: none"> <li>• updates the current UM type C or E amount</li> <li>• generates U8 informational alert to FO</li> </ul> |
| FO receives an U8 alert                                                                                                                                                    | FO: <ul style="list-style-type: none"> <li>• determines if the person is living in the U.S.</li> <li>• takes appropriate action</li> </ul>                 |

**B. Example**

I-A VAC&P U8 VA SHOWS POSS FOREIGN  
ADDRESS  
VA ADDRESS XXXXX  
JURIS XX

**C. References**

Absence from the United States, SI 00501.410

Foreign Address, SM 01301.425

Glossary of Terms Used in VA Interface Alert/Reject Messages, SM 02002.020

Payment Status N03 Input, SM 01305.050

**02002.042 V7 Alert/ Diary****A. Process**

| <i>When the...</i>                                                                                                                                                                                                                                                              | <i>Then the...</i>                                                                                                                                                                                                                                                                               |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| VA record and SSR match and the interface: <ul style="list-style-type: none"> <li>• updates SSR with VA income for the first time under a VA UMID different from any other previously on the SSR</li> <li>• reinstates VA income, or</li> <li>• terminates VA income</li> </ul> | interface: <ul style="list-style-type: none"> <li>• updates or terminates the current UM type C or E amount as appropriate</li> <li>• generates a V7 alert to the FO</li> <li>• establishes the V7 diary which matures in 60 days and subsequently appears on monthly follow-up lists</li> </ul> |
| FO receives an V7 alert                                                                                                                                                                                                                                                         | FO: <ul style="list-style-type: none"> <li>• determines the correct VA income for the retroactive period</li> <li>• makes corrective input as needed (which will also serve to delete the diary) or</li> <li>• deletes the V7 diary</li> </ul>                                                   |

**B. Example**

I-A VAC&P V7 PRIOR VA DEV SITUATION  
VA CAN XXXXXXXXXX  
JURIS XX

**C. References**

Development and Documentation - VA Interface, SI 02310.030.D

Glossary of Terms Used in VA Interface Alert/Reject Messages, SM 02002.020

Deletion of Diaries, SM 01301.220

SSI Diary Follow-up List, SM 01301.260

- C. References (Cont.)** Initial Claims Input of UM Type C or E, SM 01005.850  
Posteligibility Input of UM Type C or E, SM 01306.760

## 02002.043 5F Alert/ Diary

### A. Process

| When the...                                                                                                                                                                            | Then the...                                                                                                                                                                                                                                                                                                                             |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| VA record and SSR match and <ul style="list-style-type: none"> <li>VA record indicates an over-payment is being deducted and</li> <li>there is no prior 5F diary on the SSR</li> </ul> | interface: <ul style="list-style-type: none"> <li>updates either the gross or net VA income depending on the unearned income recovery indicator (UMR) code on the SSR</li> <li>generates a 5F alert to the FO</li> <li>establishes the 5F diary which matures in 90 days and subsequently appears on monthly follow-up lists</li> </ul> |
| FO receives a 5F alert                                                                                                                                                                 | FO: <ul style="list-style-type: none"> <li>determines if double counting applies</li> <li>makes corrective UM and SZ input as needed to the SSR</li> <li>deletes the 5F diary</li> </ul>                                                                                                                                                |

**NOTE:** If a prior 5F diary is present on the SSR, the interface will post the gross VA amount regardless of UMR.

### B. Example

A-C 5F [PERSON] POSSIBLE DOUBLE COUNTING VA UM INCOME  
JURIS

### C. Procedure

Use the following chart to determine FO action needed in double counting cases and how the interface reacts.

| UMR on SSR | VA In-come Posted | Double Counting | Fo Action                              | Result: Interface will                                                        |
|------------|-------------------|-----------------|----------------------------------------|-------------------------------------------------------------------------------|
| X          | Gross             | Does not apply  | Delete diary                           | continue to post gross monthly VA benefit                                     |
| X          | Gross             | Does apply      | Input SZ "P" and post net VA benefit   | change UMR from "X" to "Y". Next interface will post net monthly VA benefit   |
| Y          | Net               | Does apply      | Delete 5F diary                        | continue to post net monthly VA benefit                                       |
| Y          | Net               | Does not apply  | Input SZ "X" and post gross VA benefit | change UMR from "X" to "Y". Next interface will post gross monthly VA benefit |

**EXCEPTION:** If a special action code input of "P" or "X" is necessary for both an eligible individual and an eligible spouse, two separate SZ entries with ID codes 1 and 3 are required (both entries can be made on the same SSA-1719B).

### D. References

Double Counting of Income, SI 00830.021  
Development and Documentation - VA Interface, SI 02310.020.D  
Glossary of Terms Used in VA Interface Alert/ Reject Messages, SM 02002.020  
Deletion of Diaries, SM 01301.220  
SSI Diary Follow-up List, SM 01301.260  
SZ Field, SM 01301.001

**02002.044 ND Reject****A. Process**

| <i>When the...</i>                                                                                                                     | <i>Then the...</i>                                                                                                                                                                                                                 |
|----------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| VA record and SSR match preliminarily (final systems matching analysis is not done) and the SSR is an awaiting spouse case (TMR is ES) | interface: <ul style="list-style-type: none"> <li>• does not update VA income to the SSR</li> <li>• generates an ND reject to the FO</li> </ul>                                                                                    |
| FO receives an ND reject                                                                                                               | FO: <ul style="list-style-type: none"> <li>• determines the correct VA income for the proper person on the SSR</li> <li>• makes corrective UM input as needed when the SSA-450SI is transmitted for the eligible spouse</li> </ul> |

**B. Example**

I-R VAC&P ND VA DATA REJECTED - ES  
CASE  
VA CHK AMT XXXXXX  
VA DEDS XXXXXX  
VA NET AWD XXXXXX  
VA NAME XXXXXX  
VA DOB XXXX  
VACN XXXXXXXXXX  
JURIS XX

**C. References**

Development and Documentation - VA Interface, SI 02310.030.D  
Glossary of Terms Used in VA Interface Alert/Reject Messages, SM 02002.020  
Veterans Administration Payments, SI 00830.160  
Initial Claims Input of Um Type C or E, SM 01005.850

**02002.045 NE Reject****A. Process**

| <i>When the...</i>                                                                                                                  | <i>Then the...</i>                                                                                                                                                                                         |
|-------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| VA record and SSR match preliminarily (final systems matching analysis is not done) and there is a surface edit in the SSR UM field | interface: <ul style="list-style-type: none"> <li>• does not update VA income to the SSR</li> <li>• generates and NE reject to the FO</li> </ul>                                                           |
| FO receives an NE reject                                                                                                            | FO: <ul style="list-style-type: none"> <li>• determines the correct VA income for the proper person on the SSR</li> <li>• makes corrective UM input as needed when the SSA-450SI is transmitted</li> </ul> |

**B. Example**

I-R VAC&P NE VA DATA REJECTED - SE IN  
UM FIELD  
VA CHK AMT XXXXXX  
VA DEDS XXXXXX  
VA NET AWD XXXXXX

**B. Example (Cont.)**

VA NAME XXXXXXXX  
 VA DOB XXXX  
 VACN XXXXXXXXXX  
 JURIS XX

**C. References**

Development and Documentation - VA Interface, SI 02310.030.D  
 Glossary of Terms Used in VA Interface Alert/Reject Messages, SM 02002.020  
 Deletion of Diaries, SM 01301.220  
 SSI Diary Follow-up List, SM 01301.260  
 Veterans Administration Payments, SI 00830.160  
 Initial Claims Input of Um Type C or E, SM 01005.850

## 02002.100 How to Convert RRB Claim Numbers (CN) to SSI Unearned Income Identification Numbers

**A. Introduction**

The RRB uses a different means of identifying its beneficiaries than SSI. Until 1975 RRB issued a 6-digit number to its beneficiaries. After 1974 RRB has used the Railroad employees SSN. RRB prefixes the 6-digit number or the railroad employees SSN with from one to three alphabetic characters which identify the type of beneficiary.

The SSR UMID is set up as 9 numerics followed by two alpha characters called the "BIC" therefore the RRB claim number must be converted to conform with SSI UMID rules.

**B. Procedure**

This chart shows how to convert the RRB claim number into the proper format for transmitting RRB (type D) unearned income to the SSR.

***If the RRB CN shown on RRB check or notices consists of***

***Then...***

the prefix and only 6-digits

Example:  
A123456

add 3 leading zeros to make nine digits

Example:  
000123456  
the resulting nine digit number is the numeric portion of the SSI UMID

the prefix and 9-digits

Example  
MA123456789

this nine digit number is the numeric portion of the UMID

***If the prefix shown on RRB checks and notices is...***

***Then convert to BIC of...***

| The type of beneficiary is |                                           |    |
|----------------------------|-------------------------------------------|----|
| A                          | railroad retiree                          | RA |
| H                          | railroad pensioner                        | RH |
| MA                         | spouse of a retired railroad employee     | MA |
| JA                         | designated survivor of a railroad retiree | JA |
| WA                         | widow of a railroad retiree               | WA |
| PA                         | parent of a railroad retiree              | PA |

SM 02002.100B. (Cont.)

**B. Procedure (Cont.)*****If the prefix shown on RRB checks and notices is...******Then convert to BIC of...***

|    |                                                                |    |
|----|----------------------------------------------------------------|----|
| XA | divorced spouse of a railroad retiree                          | MA |
| MH | spouse of a railroad pensioner                                 | MH |
| WH | widow of a railroad pensioner                                  | WH |
| PH | parent of a railroad pensioner                                 | PH |
| WD | widow of a railroad employee who never filed for RRB benefits  | WD |
| PD | parent of a railroad employee who never filed for RRB benefits | PD |

**B. Procedure (Cont.)****If the prefix shown on RRB checks and notices is...****Then convert to BIC of...**

|     |                                                                                        |
|-----|----------------------------------------------------------------------------------------|
| WCA | widow and children or children of a railroad retiree                                   |
| WCH | widow and children or children of a railroad pensioner                                 |
| WCD | widow and children or children of a railroad employee who never filed for RRB benefits |

CA

CH

CD

**C. Related Procedure**

Unearned Income and Exclusions - SM 01005.850

Unearned Income and Exclusions - SM 01005.760

RRB Income Development - SI 00830.180

**02002.103 The A3 Alert/Diary - RRB Special Guaranty (Augmented) Case****A. Introduction**

The RRB/SSR interface system issues an A3 alert and establishes an A3 diary when the RRB record indicates the RRB benefit has been augmented for dependents. This situation is referred to as a "Special Guaranty" case.

**B. Process Description****When the...****Then the...**

RRB record and SSR match and the RRB record indicates the RR benefit has been augmented for dependents

interface:  
 • posts the current RRB benefit amount to the SSR as type D UM  
 • issues an A3 alert to the FO  
 • establishes the A3 diary on the SSR.

FO receives an A3 alert

FO  
 • determines the amount chargeable to the recipient as unearned income  
 • deletes the A3 diary

**C. Example**

C-A RRB A3 SPECIAL GUARANTY (AUGMENTED) CASE—  
 DEV RRB AMT CN XXX-XX-XXXX BIC XX

**D. Related Procedure**

Deletion of Diaries - SM 01301.220ff

Unearned Income and Exclusions - SM 01005.850

SSI Diary Follow-up List - SM 01301.260

Unearned Income and Exclusions - SM 01305.760

**E. Reference**

Unearned Income - SI 00830.001ff

**02002.104 The A4 Alert/Diary - RRB Shows Disability Cessation****A. Introduction**

The RRB/SSR interface system issues an A4 alert and establishes an A4 diary when the SSR indicates the recipient is disabled but the RRB record indicates the person is no longer disabled.

**B. Process Description****When the...****Then the...**

RRB record and the SSR match but the RRB record indicates the person is no longer disabled while the SSR indicates the recipient is disabled

interface  
 • posts the current RRB benefit amount as type D UM on the SSR  
 • issues an A4 alert to the FO  
 • establishes an A4 diary on the SSR

**B. Process Description (Cont.)****When the...**

FO receives the A4 alert

**Then the...**

FO

- determines if a CDR is necessary
- initiates development
- deletes the A4 diary

**C. Example**

C-A RRB A4 RRB DIB CESSATION POTENTIAL CDR

**E. Related Procedure**

Deletion of Diaries - SM 01301.220  
SSI Diary Follow-Up List - SM 01301.260

**F. Reference**

Continuing Disability Review - DI 13001.001ff

**02002.106 The A6 Alert/Diary - RRB Shows Foreign Address****A. Introduction**

The RRB/SSR interface system issues an A6 alert and establishes the A6 diary when the RRB record contains a foreign address but the SSR indicates SSI payment is being issued.

**B. Process Description****When the...**

RRB record and the SSR match and the RRB record indicates the person has a foreign address but the SSR is not terminated for foreign address (PSY=N03)

**Then the...**

interface

- posts the current RRB benefit amount as type D UM on the SSR
- issues an A6 alert to the FO
- establishes an A6 diary on the SSR

FO receives an A6 alert

FO

- determines if the person is living outside the U.S., takes appropriate action and deletes the A6 diary

**C. Example**

C-A RRB A6 RR SHOWS POSS FOREIGN ADDRESS

**D. Related Procedure**

Deletion of Diaries - SM 01301.220  
Foreign Address - SM 01301.425  
SSI Diary Follow UP List - SM 01301.260

**02002.107 The A7 Alert and RI Diary - RRB Paying Title II****A. Introduction**

The RRB/SSR interface system issues an A7 alert and establishes the RI diary when the RRB record indicates the RRB is paying Social Security (title II) benefits but the SSR does not contain type A UM.

**B. Process Description****When the...**

RRB record and the SSR match and the RRB record indicates that RRB is paying title II benefits but the SSR does not contain type A UM

**Then the...**

interface

- posts the current RRB benefit amount as type D UM on the SSR
- issues an A7 alert to the FO
- establishes an RI diary on the SSR

FO receives an A7 alert

FO

- determines if the recipient is receiving title II benefits
- takes appropriate action and deletes the RI diary

**C. Example**

C-A RRB A7 RRB PAYING TITLE II NO TYPE A ON SSR TITLE II  
CAN XXXXXXXXXXXX

- D. Related Procedure** Deletion of Diaries - SM 01301.220  
 Unearned Income and Exclusions - SM 01005.001ff  
 Unearned Income and Exclusions - SM 01305.001  
 SSI Diary Follow-Up List - SM 01301.260  
 MBR/SSR Interface - SM 02001.001

- E. References** RRB Certification - RS 01601.200  
 RRB Certification - SM 00618.500

## 02002.110 The E3 Alert - RRB Shows Earned Income

- A. Introduction** The RRB/SSR interface system issues an E3 informational alert when the RRB record indicates the recipient is employed but the SSR does not contain earned income.

| <b>B. Process Description</b> | <b>When the...</b>                                                                                                                                                                           | <b>Then the...</b>                                                                                            |
|-------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------|
|                               | RRB record and the SSR match and the RRB record indicates the recipient is employed but the SSR does not contain earned income for the last quarter of the year preceeding the interface run | interface<br>• posts the current RRB benefit amount as type D UM on the SSR<br>• issues an E3 alert to the FO |
|                               | FO receives an E3 alert                                                                                                                                                                      | FO<br>• determines if the recipient has earned income<br>• takes appropriate action                           |

- C. Example** I-A RRB E3 RRB SHOWS EARNED INCOME

- D. Related Procedure** Posting Earned Income - SM 01301.100ff  
 Posting Earned Income - SM 01005.001

- E. Reference** Earned Income - SI 00820.001ff

## 02002.111 The E4 Alert/Diary - RRB Shows Term for Marriage

- A. Introduction** The RRB/SSR interface issues an E4 alert and establishes the E4 diary when the RRB record indicates the recipient is married but the SSR indicates the person is being paid as an individual without a spouse.

| <b>B. Process Description</b> | <b>When the...</b>                                                                                             | <b>Then the...</b>                                                                                       |
|-------------------------------|----------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------|
|                               | RRB record and SSR match and the RRB record indicates the recipient is married but the SSR TMR is AI, BI or DI | interface<br>• issues and E4 alert to the FO<br>• establishes an E4 diary on the SSR                     |
|                               | FO receives an E4 alert                                                                                        | FO<br>• determines the recipient's marital status<br>• takes appropriate action and deletes the E4 diary |

- C. Example** C-A RRB E4 RRB SHOWS TERM FOR MARRIAGE SSR SHOWS IND STAT

- D. Related Procedure** Deletion of Diaries SM 01301.220  
 SSI Diary Follow-Up List - SM 01301.260  
 T30 Procedure - SM 01801.005

- E. Reference** SSI Eligibility - SI 00501.001ff

**02002.120 The M8 Alert - Case Under Force Pay**

- A. Introduction** The RRB/SSR interface system issues an M8 informational alert when the SSR indicates SSI payments are being computed manually.
- B. Process Description**
- | When the...                                               | Then the...                                                                                                                                                        |
|-----------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| RRB record and the SSR match and the SSR has a force flag | interface <ul style="list-style-type: none"> <li>• posts the current RRB benefit amount as type D UM to the SSR</li> <li>• issues an M8 alert to the FO</li> </ul> |
| FO receives an M8 alert                                   | FO manually recomputes the SSI payment                                                                                                                             |
- C. Example** I-A RRB M8 CASE UNDER FORCE-RR UM APPLIED RECOMPUTE
- D. Related Procedures** Force Payment Procedures - SM 01701.001

**02002.130 The Q3 Alert/Diary - RRB Indicates Person Deceased**

- A. Introduction** The RRB/SSR interface system issues a Q3 alert and establishes the Q3 diary when the RRB record is terminated for death but the SSR is not.
- B. Process Description**
- | When the...                                                                                                          | Then the...                                                                                                                                                                     |
|----------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| RRB record and the SSR match and the RRB benefit has been terminated for death but SSI payments are still being made | interface: <ul style="list-style-type: none"> <li>• terminates the type D UM entry</li> <li>• issues a Q3 alert to FO</li> <li>• establishes the Q3 diary on the SSR</li> </ul> |
| FO receives a Q3 alert                                                                                               | FO <ul style="list-style-type: none"> <li>• determines if the recipient is living or deceased</li> <li>• takes appropriate action and deletes the Q3 diary</li> </ul>           |
- C. Example** I-A RRB Q3 RRB INDICATES PERSON DECEASED
- D. Related Procedures** SSR Death Process - SM 01301.001  
Deletion of Diaries - SM 01301.220  
SSI Diary Follow-Up List - SM 01301.260

**02002.144 The ND Reject - RRB Data Rejected - ES Case**

- A. Introduction** The RRB/SSR interface system issues an ND reject when eligible spouse data is expected but has not yet been posted to the SSR.
- B. Process Description**
- | When the...                                                     | Then the...                                                                                                     |
|-----------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|
| RRB record and SSR match and the SSR is an awaiting spouse case | interface cannot post the type D income and issues an ND reject to the FO                                       |
| FO receives an ND alert                                         | FO ensures the correct type D unearned income is included when the 450SI for the eligible spouse is transmitted |
- C. Example** I-R RRB ND RRB DATA REJECTED - ES CASE RRCN XXXXXXXXXXXX
- D. Related Procedures** Unearned Income and Exclusions - SM 01005.001ff

**02002.145 The NE Reject - RRB Data Rejected - S-E in UM Field****A. Introduction**

The RRB/SSR interface system issues an NE reject when the SSR indicates that surface edits exist in the unearned income field.

**B. Process Description****When the...****Then the...**

RRB record and SSR match and there are surface edits in UM field of the SSR

interface cannot post type D income and issues an NE reject to the FO

FO receives an NE reject

FO ensures correct type D UM is included when the SSA-450SI PR9 is transmitted to resolve the surface edit(s)

**C. Example**

I-R RRB NE RRB DATA REJECTED-S-E IN UM FIELD RRCN  
XXXXXXXXXXXX

**D. Related Procedure**

Unearned Income and Exclusions - SM 01005.001ff  
System Processing of Field Office Postentitlement Actions - SM 01301.030ff

**E. Reference**

RRB Income Development - SI 00830.180

**F. Explanation of Fields on RRB Extract****1. RAILROAD RECORD FORMAT**

The following explains the railroad record format. The fields which you will need to reference have been underscored.

**RRB RECORD FORMAT—PAYMENT RUN OF MM/DD/YY'**  
 HUN<sup>1</sup> 000000000 SSR PERSON TYPE \_\_\_\_\_<sup>2</sup>  
 BENE NAME \_\_\_\_\_<sup>3</sup> RRB ID 000000000 RR BIC \_\_\_\_\_<sup>4</sup>  
 BENE SSN 000000000' RRB DOB MMDDYY COB 00 EMP SSN 000000000  
RR STATUS 0 RR RATE \$\$\$.<sup>5</sup><sup>6</sup> SMIB DED \$\$.<sup>6</sup><sup>6</sup> SUPP ANNU AMT \$\$.<sup>6</sup><sup>6</sup>  
 CAN 000000000 BIC 00SS DOB MMDDYY SS STATUS SS AMT \$\$.<sup>6</sup>  
RCD NO 0 BENE TYPE 00 TERM/SUSP CODE 00 TERM/SUSP DATE MMY  
TOT RATE \$\$.<sup>6</sup> PREV TOT RATE \$\$.<sup>6</sup> PREV RATE \$\$.<sup>6</sup>  
RR ACCR AMT .00 SUPP ACCR AMT .00 S ACCR AMT .00  
ACCT DATE MMDDYY CUR AWD 0 PART AWD 0 POL CODE 0  
ZIP CODE 00000 ADDR \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

**2. LEGEND**

<sup>1</sup>Date of RRB Run

<sup>2</sup>Housed-under number

<sup>3</sup>Type record: Individual, Eligible Spouse, Ineligible Spouse or Parent 1, Parent 2 (points to the person on the SSIRD for Analysis; not identifying information)

<sup>4</sup>Beneficiary

<sup>5</sup>RRB Claim Number

<sup>6</sup>RRB BIC:

RA, RH - railroad employee  
 MA, MH - spouse  
 WA, WD, WH - widow(er)  
 JA - joint award or dual entitlement  
 PA, PD, PH - parent  
 CA, CD, CH - consolidate family award

<sup>7</sup>Beneficiary's SSN

<sup>8</sup>RR RATE: This is the basic railroad benefit which the recipient is entitled to after SMIB is deducted.

<sup>9</sup>SMIB Ded: This is the amount of the SMIB premium being deducted.

<sup>10</sup>Supp Annu Amt: This is an extra RR benefit which a small number of RR beneficiaries receive.

**OPM/SSI Interfaces****02002.200 OPM/SSI Interface - General****A. Purpose**

The Office of Personnel Management (OPM) pays civil service annuities to civilian Federal Government retirees and their survivors. An interface was initiated in 1978 to provide for more timely and accurate updating of civil service income to the supplemental security record (SSR).

**B. Process - Overview**

1. The OPM/SSI interface involves the Social Security Administration (SSA) establishing and maintaining an OPM data base consisting of extract records of all active civil service annuitants. This data base is accessed as part of

processing FO initial claims and postentitlement inputs as described in SM 02002.200 F.1. below to verify civil service income and claim numbers. However, the data base only has current monthly civil service income data.

2. The data base will be updated monthly by OPM with changes in civil service benefit data. Changes to data base records which have an SSI indicator will cause SSI postentitlement update transactions to be generated monthly as well.

**C. OPM Payment Schedule of Civil Service Annuities**

1. Civil Service annuities are payable in the month following the month for which they are due, similar to title II payments. Legislated cost-of-living increases from 1974 have been

payable effective with the months and years as follows:

|       |       |
|-------|-------|
| 02/74 | 04/79 |
| 08/74 | 10/79 |
| 02/75 | 04/80 |
| 09/75 | 10/80 |
| 04/76 | 04/81 |
| 04/77 | 04/82 |
| 10/77 | 05/83 |
| 04/78 | 01/85 |
| 10/78 | 01/87 |

2. Unless changed, there will be a cost-of-living increase in civil service annuities payable in January of each year.

#### **D. OPM/SSI Interface Schedule**

The first OPM/SSI interface was run in June 1978. Subsequent interfaces were run in April and October of each year through 1982, in May and November 1983, June 1984, January and July 1985, February and September 1986, January 1987, and July 1987. The new monthly interface update begins January 1988.

#### **E. Matching Criteria**

1. The OPM/SSI interface uses various combinations of number matches (SSN, civil service claim numbers, or UMID) and personal characteristics (last name, first name, middle initial, month and year of birth, and sex) to determine if an OPM record matches an SSR or if an initial claim/posteligibility input matches an OPM record on the data base.
2. Generally, the rules used for a match to occur are:
  - a. The SSNs are equal and verified or
  - b. The SSNs are equal, unverified, and the personal characteristics are deemed a match; or
  - c. The civil service claim number or UMID on the input record equals the SSR UMID and either the SSNs are equal or the personal characteristics are deemed a match.
3. If the FO determines that the OPM/SSI interface has incorrectly matched an OPM record and an SSR, then the FO should input to the unearned income field of the SSR the following:
  - a. UM type M,
  - b. Person type,
  - c. UM start date equal to the earliest UM start date for the incorrect UM type M entry with the same UMID,
  - d. A UM amount of zero,
  - e. Frequency code of N, and
  - f. The UMID exactly as it appears on the SSR for the incorrect UM type M entry.

This input will prevent any future updating of UM type M under that UMID by the interface. Thus FOs must be certain the interface has incorrectly matched the OPM record and

the SSR before making this entry.

#### **F. Update Process**

1. During each monthly OPM/SSI interface run, UM type M amounts and claim numbers will be updated on the SSR. Beginning January 1988, certain FO inputs will be matched against the current month's OPM data base so that the correct civil service income amount and claim number will be updated on the SSR. The following inputs will be matched against the OPM data base:
  - a. Initial SSA-450SI
  - b. PR-1 and PR-4 corrections
  - c. PR-9 corrections of date of birth, date of eligibility, unearned income, and sex.
  - d. SSA-1719B Transmissions of UM type M
2. One-time adjustments to civil service benefits paid during a month (i.e., other than regularly scheduled payments on the first of the month) will not be posted by the monthly interface. Thus, the interface will not change UM type M input by FOs for the current calendar month if the UM frequency code for the entry is "N". The interface will, however, update future regularly scheduled civil service income amounts for other than N frequency code entries.
3. The interface will overlay any current calendar month UM type M entry amount input by FOs if the interface amount disagrees.
4. An R-E CS initial claims edit (SM 01005.200) will be generated for SSA-450SI and PR input if:
  - a. The record is not a start date record, and
  - b. The SSI DOE is earlier than the current calendar month, and
  - c. The earliest UM type M with a verification code greater than zero has a start date later than SSI DOE, or
  - d. The interface posted UM type M for the first time (no UM type M on FO input).

This edit indicates that retroactive development of civil service income may be needed (See SI 02310.030 and SI 00830.140).
5. The interface will determine the UM type M amount according to policy rules for unearned income. In some instances, this amount may differ from the UM type M amount applied by a previous interface. This situation may occur because previous interfaces did not use the same criteria to determine the UM type M amount. If such cases come to the FOs attention, retroactive corrections may be required. Development should be undertaken as per SI 02310.030 and SI 00830.140.

### **02002.210 Field Office Input of Civil Service Benefit Data**

#### **A. General**

Although the OPM/SSI interface will properly apply correct civil service benefit data to the SSR in